



## Investor Declaration

First Peninsula Marine’s investment opportunity register is for companies seeking investment and angel investors who have the funding, skills and expertise to help businesses develop and grow. First Peninsula acts purely as an introducer bringing parties together and facilitating initial information exchange only, not the investment itself, and will provide access to suitable legal and financial expertise where requested. Our terms state that we act exclusively in this introductory capacity and do not pre-qualify investors, recommend specific opportunities or provide investment advice.

We require an annual declaration for the purposes of The Financial Services Act. The Financial Services and Markets Act (2000) makes it a legal requirement for individuals wishing to receive information to be self-certified as either high net worth individuals and/or sophisticated investors. With this in mind First Peninsula Marine only make introductions where an investor declaration has been made. If you have any concerns about the implications of self-certification then you are advised to take independent professional advice.

To register an interest in investment opportunities investors are firstly required to enroll as Premium Buyer members (please refer to [www.firstpeninsulamarine.co.uk/buyer-registration](http://www.firstpeninsulamarine.co.uk/buyer-registration) - a one-off registration fee applies) thereby completing the confidentiality undertaking and gaining access to the secure document area. To receive details about companies seeking investment applicants are requested to complete this declaration form and return it to First Peninsula Marine along with a copy of personal identification such as a driving license or passport.

### Investor Contact Details

|            |                      |                |                      |
|------------|----------------------|----------------|----------------------|
| Title:     | <input type="text"/> | Phone number:  | <input type="text"/> |
| Forenames: | <input type="text"/> | Email address: | <input type="text"/> |
| Surname:   | <input type="text"/> | ID Provided:   | <input type="text"/> |

### Investor Self-Certification Declaration

I apply for access to opportunities advertised by First Peninsula on the above and below terms, and agree:

1. To enter into and complete the Premium Buyer registration process and confidentiality agreement
2. Not to exploit direct access to investee companies for the purposes of promoting my own services
3. I will not use the introductory service offered by First Peninsula for unlawful purpose
4. In no event will First Peninsula be liable for any direct or consequential loss of any nature at any time
5. There is no implied obligation, duty or liability in contract for First Peninsula Marine
6. I confirm that I am over 18 years of age
7. I declare that I am a self-certified high net worth individual and/or sophisticated investor as defined by and for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001.
8. I am aware that it is open for and incumbent upon me to seek advice from someone who specializes in advising on investments.

Print Full Name:

Signed:  Dated this day:

